FP TRANSITIONS®

LISTING 19-247

LOCATION	REVENUE	ASKING
North Carolina	$845,902	$1,500,000

Located in North Carolina, this hybrid RIA is dedicated to providing clients with customized retirement income planning through proprietary investment strategies that utilize tactical portfolio management. With a majority of its clients at or near retirement, conservation of client funds is a key investment philosophy for this practice. This practice generates annual revenue of approximately $846,000: 44% from fees for managing AUM, 40% from fixed-annuity fees, 12% from third-party investment advisors using the seller's proprietary investment strategies, and 4% from financial planning.

The preferred buyer would be a well-established hybrid RIA willing to retain the seller's staff of three (one licensed employee and two support staff). The preferred buyer would also have at least one staff member with the Certified Financial Planner™ certification and a person licensed to sell insurance products. The buyer must also continue to offer the seller's proprietary investment strategies to the seller's clients post sale.

PRACTICE INFORMATION

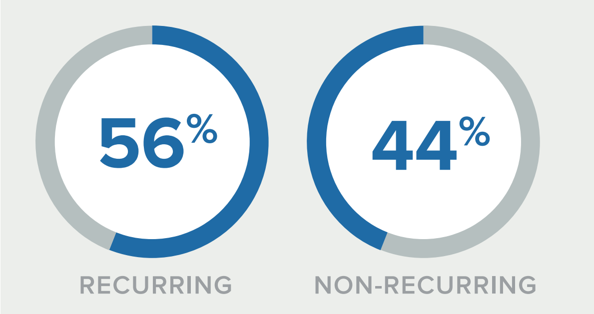
Total Assets Under Advisory	$62,573,000
Assets Under Management	$23,015,000
Form of Ownership	S-Corp
Total Number of Employees	3
Licensed Employees	1
Unlicensed Employees	2
Billing Management Software	Orion
Number of Branch Offices	1
Errors & Omissions Insurance?	Yes
% of Overhead / Expenses	38%

INQUIRE NOW

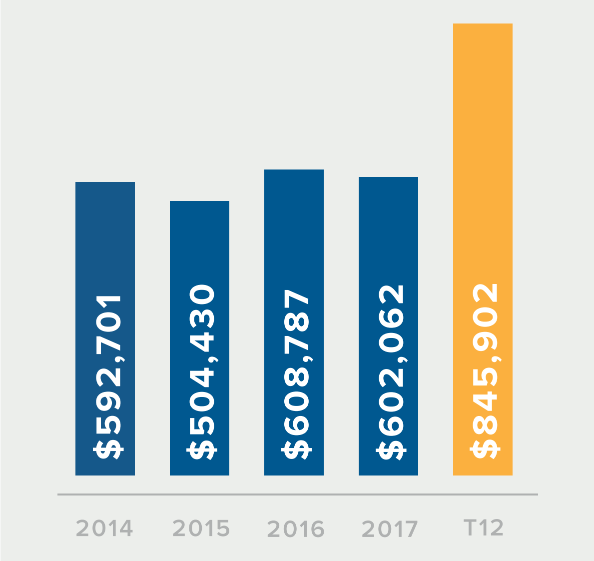
Visit **www.fptransitions.com/19-247-inquire** or log in to your member dashboard to inquire.

NOT A MEMBER? Create your free membership at **www.fptransitions.com/membership.**

REVENUE



HISTORICAL REVENUE



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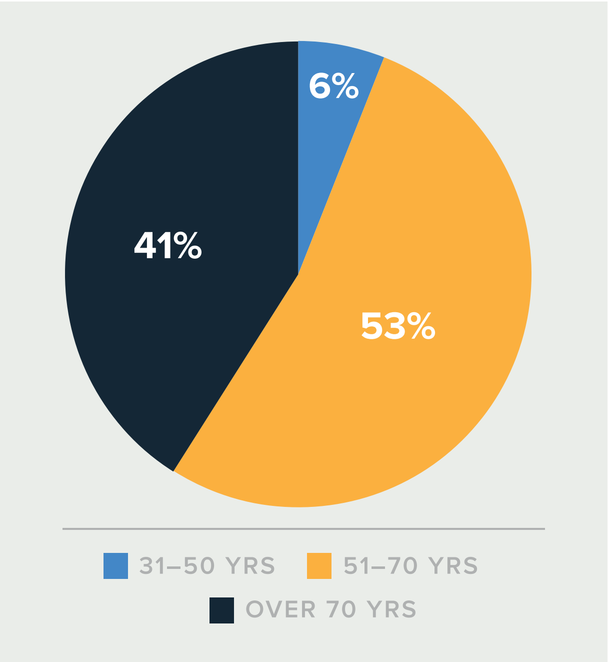
SELLER INFORMATION

Licenses	65, NC & SC Insurance
Designations	CFP, MBA, NSSA
Education	Master's Degree
Years in Business	22
Years in Industry	36
Seller's Age Range	58–62
Insurance Lines Carried	Life, Health, LTC
Currently Licensed?	Yes

SOURCES OF REVENUE

	RECURRING REVENUE	NON-RECURRING REVENUE
FEE-BASED		
Fees from AUM	$368,397	
Third-Party Managed Assets	$102,339	
HOURLY-BASED		
Financial Planning		$31,129
INSURANCE-BASED		
Fixed Annuities	$667	$343,370
TOTALS		
	$471,403	**$374,499**

CLIENT DEMOGRAPHICS



REVENUE SOURCES