



LOCATION
Louisiana

REVENUE
\$1,685,015

ASKING
\$3,300,000

This Louisiana-based financial planning and wealth management firm is focused on understanding the individualized financial objectives of each client and developing an appropriate course of action that is designed to achieve positive results while minimizing volatility and risk. In addition to managing money for its end-user clients, this firm also acts as a third-party manager for the clients of other advisors. The firm offers a deep bench of professional expertise to its 400+ client households, who have been with the firm for an average of 20 years. Current annual gross revenue for this firm is \$1,685,015, of which approximately 53% is from approximately \$141 million in fee assets, 37% from managing assets for other advisors, with the remaining 10% from commission and insurance income.

The ideal buyer would be a larger firm that is client-centric, has a similar investment philosophy, and builds and manages portfolios in-house. The ideal buyer would also be willing to retain six of the firm’s staff members post-sale.

PRACTICE INFORMATION

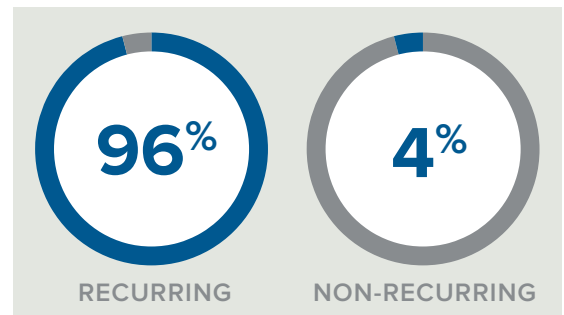
Assets Under Advisory	\$172,336,826
Assets Under Management	\$141,528,664
Households	400+
Form of Ownership	S Corporation
Total Number of Employees	7
Billing Management Software	Orion

INQUIRE NOW

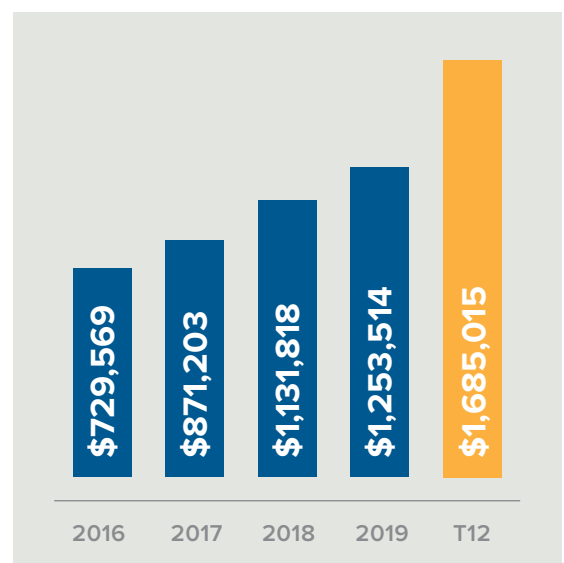
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REVENUE



HISTORICAL REVENUE





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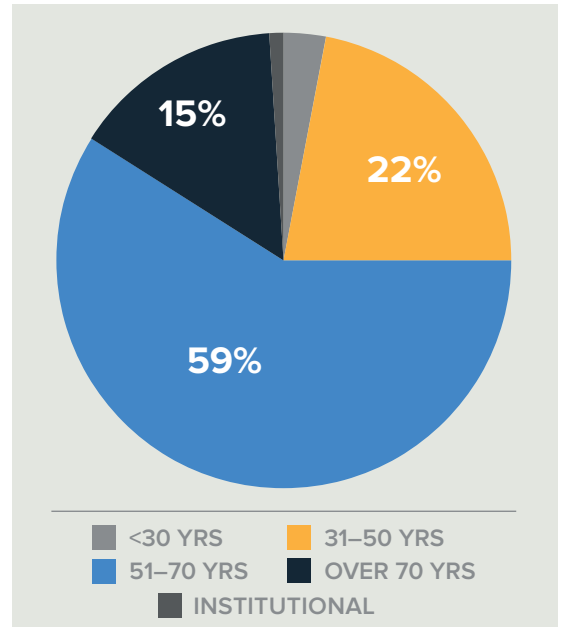
SELLER INFORMATION

Licenses	6, 7, 22, 24, 63
Designations	Various professional designations
Years in Business	30+
Years in Industry	40+
Seller's Age Range	60-65
Insurance Lines Carried	Life, Health, Disability, LTC

SOURCES OF REVENUE

	RECURRING REVENUE	NON-RECURRING REVENUE
FEE-BASED		
Fees from AUM	\$889,115	
Third-Party Assets	\$8,865	
Other	\$615,223	
SECURITIES-BASED		
Stocks		\$118
Mutual Funds		\$3,668
Variable Annuities	\$94,582	\$8,210
HOURLY-BASED		
Financial Planning		\$750
INSURANCE-BASED		
Term Life		\$18,973
Group Insurance	\$28	
Disability	\$188	
Fixed Annuities	\$4,762	
Other		\$40,533
TOTALS		
	\$1,612,763	\$72,252

CLIENT DEMOGRAPHICS



REVENUE SOURCES

